

United States Patent and Trademark Office

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER

09/950,016

09/10/2001

Janet A. Warrington

CONFIRMATION NO. 9580

FORMALITIES LETTER

JAN 0 7 2002

John P. Iwanicki BANNER & WITCOFF, LTD. 28th Floor 28 State Street Boston, MA 02109

OC00000007023375

Date Mailed: 11/05/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

01/16/2002 SMINASS1 00000004 190733 09950016

01 FC:±01 740.00 CH 02 FC:T03 288.00 CH 03 FC:±02 840.00 CH 04 FC:£05 130.00 CH FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filling a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
 Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1088.
 - \$288 for 16 total claims over 20.
 - \$800 for 10 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1928.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention

is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentin Software, call (703) 306-2600
- For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



PATENT ATTORNEY DOCKET NO. 03848-00093

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Janet A. Warrington et al.)		
Serial No.:	09/950,016) Examiner: Not Assigned Yet)		
Filed:	September 10, 2001) Art Unit: 1641)		
	ODS FOR DETECTING AND NOSING ORAL CANCER)))		

Assistant Commissioner for Patents

Box Missing Parts

Washington, D.C. 20231

Attention: Official Draftsman

TRANSMITTAL OF FORMAL DRAWINGS

In response to the Notice to File Missing Parts mailed November 5, 2001, please substitute the enclosed twenty-one (21) sheets of formal drawings for the corresponding drawings presently in the application.

Please apply any other charges or credits to our Deposit Account No. 19-0733.

Respectfully submitted,

Dated: <u>January</u> 7, 2002

John P. Iwanicki, Reg. No. 34,628 BANNER & WITCOFF, LTD. 28 State Street, 28th Floor Boston, MA 02109 (617) 227-7111

PATENT ATTORNEY DOCKET NO. 03848-00093

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)	
	Janet A. Warrington et al.)	Examiner:
Serial No.: 09/950,016)	Group Unit: 1641
Filed:	September 10, 2001)	
For:	METHODS FOR DETECTING AND DIAGNOSING ORAL CANCER)	

Assistant Commissioner for Patents

Washington, D.C. 20231 Attention: Box Missing Parts

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Responsive to the Notice to File Missing Parts of Application under 37 CFR 1.53(b) mailed November 5, 2001 (a copy of which is enclosed), Applicants as a large entity submit herewith the following:

Payment of the basic filing fee of \$740.00;

Payment of the additional claims fees of: \$288.00 for 16 additional dependent claims, and \$840.00 for 10 additional independent claims for a total of \$1,128.00 additional claims fees;

A Combined Declaration and Power of Attorney in compliance with 37 CFR 1.63;

Transmittal of twenty-one (21) sheets of formal drawings;

Amendment and Response to Notice to File Missing Parts with Statement Under 37 C.F.R. § 1.821(f) and Version of Amendments with Markings to Show Changes Made;

Sequence Listing (paper and diskette copies); and

Payment of the surcharge of \$130.00 for late filing of the basic filing fee and declaration.

It is understood that this perfects the application and no additional papers or filing fees are required. Please apply the instant filing fees, in the amount of \$1,998.00, and any other charges or any credits, to Deposit Account No. 19-0733. A duplicate of this paper is enclosed.

Respectfully submitted,

Dated: January 9, 2002

By:

John P. Iwanicki, Registration No. 34,628

Banner & Witcoff, Ltd. 28 State Street, 28th Floor Boston, MA 02109 Telephone (617) 227-7111